



# DB (INTERNATIONAL) STOCK BROKERS LTD.

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Regional Office: Wasme House, Plot No. 4, film city, Sector 16A, Noida -201301

## SARAL

### ACCOUNT OPENING FORM FOR RESIDENT INDIVIDUALS TRADING IN CASH SEGMENT

I KYC - Please fill this form in BLOCK LETTERS.

#### A. IDENTITY DETAILS

1. Name of the Applicant: \_\_\_\_\_
2. Father's/ Spouse Name: \_\_\_\_\_
3. a. Gender: Male/ Female b. Marital status: Single/ Married c. Date of birth: \_\_\_\_ (dd/mm/yyyy)
4. Nationality: \_\_\_\_\_
5. a. PAN: \_\_\_\_\_ b. Aadhaar Number, if any: \_\_\_\_\_
6. Specify the proof of Identity submitted: \_\_\_\_\_

#### PHOTOGRAPH

Please affix your recent passport size photograph and sign across it

#### B. ADDRESS DETAILS

1. Residence/ Correspondence Address: \_\_\_\_\_ City/town/village: \_\_\_\_\_  
Pin Code: \_\_\_\_\_ State: \_\_\_\_\_ Country: \_\_\_\_\_
2. Contact Details: Tel. (Off.) \_\_\_\_\_ Tel. (Res.) \_\_\_\_\_ Mobile No.: \_\_\_\_\_ Fax: \_\_\_\_\_ Email id: \_\_\_\_\_
3. Permanent Address (if different from above address): \_\_\_\_\_  
City/town/village: \_\_\_\_\_ Pin Code: \_\_\_\_\_ State: \_\_\_\_\_ Country: \_\_\_\_\_
4. Specify the proof of address submitted for residence/correspondence /permanent address: \_\_\_\_\_

#### DECLARATION

I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.

\_\_\_\_\_  
Signature of the Applicant Date: \_\_\_\_ (dd/mm/yyyy)

☐ Originals verified and Self-Attested Document copies received (.....)

Name & Signature of the Authorised Signatory

Seal/Stamp of the intermediary

Date .....

#### II OTHER DETAILS:

##### 1. Bank account details:

| Bank Name | Branch address | Bank account no. | Account Type: Saving/Current/ | MICR Number | IFSC code |
|-----------|----------------|------------------|-------------------------------|-------------|-----------|
|           |                |                  |                               |             |           |

##### 2. Demat account details: (In case the client does not have DP account, this column may be crossed)

| DP name | NSDL/CDSL | Beneficiary name | DP ID | BO ID |
|---------|-----------|------------------|-------|-------|
|         |           |                  |       |       |

##### 3. Whether DP account is also to be opened with the same intermediary (Yes/No)

##### 4. Trading Preferences: Please sign the relevant boxes where you wish to trade.

| Exchange | Sign | Exchange | Sign | Exchange | Sign |
|----------|------|----------|------|----------|------|
| NSE      |      | BSE      |      | MCX-SX   |      |

##### 5. Mode of receiving Contract Note/ Statement of Account: Physical / Electronic (Please indicate your preference).....

##### 6. Standing instructions to receive credits automatically into my BO account (Yes/No)

##### 7. Nomination details (Name, PAN, Address and Phone no. of nominee); relationship with the nominee (If nominee is a minor, details of Guardian like name, address, phone no. and signature of Guardian may be obtained)

I have understood the contents of policy and procedures document, tariff sheet, 'Rights and Obligations' document and 'Risk Disclosure Document'. I do hereby agree to be bound by such provisions as outlined in these documents. I have also been informed that the standard set of documents has been displayed for information on stock broker's designated website.

\_\_\_\_\_  
Signature of the Applicant Date: \_\_\_\_ (dd/mm/yyyy)

**FOR OFFICE USE ONLY**

UCC Code allotted to the Client: -----

|         |           |                  |       |       |
|---------|-----------|------------------|-------|-------|
| DP name | NSDL/CDSL | Beneficiary name | DP ID | BO ID |
|         |           |                  |       |       |

|                                |                                      |              |             |                                |
|--------------------------------|--------------------------------------|--------------|-------------|--------------------------------|
|                                | Documents verified with<br>Originals | Client<br>By | Interviewed | In-Person Verification done by |
| Name of the Employee           |                                      |              |             |                                |
| Employee Code                  |                                      |              |             |                                |
| Designation of the<br>employee |                                      |              |             |                                |
| Date                           |                                      |              |             |                                |
| Signature                      |                                      |              |             |                                |

I / We undertake that I/we have made the client aware of 'Policy and Procedures', tariff sheet. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, if any, for the information of the clients.

If the client chooses to avail the demat facility from the same stock broker who is also a depository participant, the stock broker may use the same form and provide the details of the demat account opened for the said client to the client while providing a copy of the KYC documents.

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**Signature of the Authorised Signatory**

**Date .....**

**Seal/Stamp of the stock broker**

**NOTE:** This form is applicable for individual investors trading in the cash segment. If such investors wish to trade in segments other than cash segment and / or wish to avail facilities such as internet trading, running account, margin trading, Power of Attorney etc., they may furnish additional details required as per prescribed regulations to the concerned intermediary.